

MODULE* – 3

ON ε -BIRKHOFF ORTHOGONALITY

AND

ε -NEAR BEST APPROXIMATION

An element x of a normed linear space E is said to be orthogonal (in the sense of Birkhoff) to an element $y \in E$ if $\|x + \alpha y\| \geq \|x\|$ for every scalar α . The notion of Birkhoff orthogonality was used to prove some results on best approximation in normed linear spaces (see e.g. [6]). This notion of orthogonality was extended to metric linear spaces by T.D. Narnag [3] and some results on best approximation were proved. A generalization of Birkhoff Orthogonality, called ε -birkhoff orthogonality was introduced by Sever Silvestru Dragomir [1] in normed linear spaces ($\|x + \alpha y\| \geq (1 - \varepsilon)\|x\|$ for all scalars α) and this notion was used to prove a decomposition theorem ([1]-Theorem 3). We extend the decomposition theorem in metric linear spaces (Theorem 3.1)

For a subset A of a normed linear space X and a +ve number ε , an ε -near best approximation of A by M is a map $\phi: A \rightarrow M$ such that $\|x - \phi(x)\| \leq d(x, M) + \varepsilon$ for all x in A . This notion of ε -near best approximation was used by Paul C. Kainen et al [2] to show that the existence of a continuous ε -near best approximation in a strictly

convex normed linear space X and taking values in a suitable subset M implies that M has the unique best approximation property. We extend this result to convex metric linear spaces (Theorem 3.2). we also extend some results on ϵ -near best approximation proved in [1] to metric linear spaces (Theorem 3.3 and its corollaries).

To start with, we recall a few definitions.

Definition 3.1 [4]. Given a non-empty subset A of a metric space (X, d) and a positive number ϵ , near best approximation of A by M is a map $\phi: A \rightarrow M$ such that

$$d(x, \phi(x)) \leq d(x, M) + \epsilon$$

for all x in A .

Definition 3.2 [1]. For a normed linear space X , over a field K ($K = \mathbb{R}$ or \mathbb{C}) and $\epsilon \in]0, 1[$, an element $x \in X$ is said to be ϵ -Birkhoff Orthogonal to $y \in X$ if

$$(\|x + \alpha y\|) \geq (1 - \epsilon) \|x\| \quad \text{for all } \alpha \in K$$

We denote it by $x \perp_\epsilon y$ (ϵ -B).

For a metric linear space (X, d) over field K and $\epsilon \in]0, 1[$, an element $x \in X$ is said to be ϵ -Birkhoff Orthogonal to $y \in X$ [1] if

$$d(x + \alpha y, 0) \geq (1 - \epsilon) d(x, 0) \quad \text{for all } \alpha \in K \text{ and we denote it by}$$

$$x \perp y (\varepsilon\text{-}B).$$

If A is a non-empty subset of X then by ε -Birkhoff Orthogonal Complement $A^\perp(\varepsilon\text{-}B)$, we denote the set of all elements of X which are ε -Birkhoff Orthogonal to A i.e.

$$A^\perp(\varepsilon\text{-}B) = \{ y \in X : y \perp x(\varepsilon\text{-}B) \text{ for all } x \in A \}.$$

Since $A^\perp(\varepsilon\text{-}B) = \{ y \in X : y \perp x(\varepsilon\text{-}B) \text{ for all } x \in A \}$, $0 \in A^\perp(\varepsilon\text{-}B)$ as $0 \perp x(\varepsilon\text{-}B)$ for all $x \in A$ ($d(0 + \alpha x, 0) \geq (1 - \varepsilon) d(0, 0)$ for all $x \in A$).

We claim that $A \cap A^\perp(\varepsilon\text{-}B) \subseteq \{0\}$ for every $\varepsilon \in]0, 1[$.

Let $y \in A \cap A^\perp(\varepsilon\text{-}B)$. Then $y \in A^\perp(\varepsilon\text{-}B)$.

Now $y \in A^\perp(\varepsilon\text{-}B) \Rightarrow y \perp x(\varepsilon\text{-}B) \text{ for all } x \in A$.

$$\Rightarrow y \perp y(\varepsilon\text{-}B)$$

$$\Rightarrow d(y + \alpha y) \geq (1 - \varepsilon) d(y, 0) \text{ for all } \alpha \in K$$

$$\Rightarrow 0 \geq (1 - \varepsilon) d(y, 0) \text{ by taking } \alpha = -1$$

$$\Rightarrow d(y, 0) \leq 0 \text{ (as } (1 - \varepsilon) \geq 0 \text{)}$$

$$\Rightarrow d(y, 0) = 0$$

$$\Rightarrow y = 0$$

and so $A \cap A^\perp(\varepsilon\text{-}B) \subseteq \{0\}$.

we now prove a lemma needed in the proof of decomposition theorem.

Lemma 3.1 [5]. Let G be a closed linear subspace of a metric linear space (X, d) , $G \neq X$. Then for any $\varepsilon \in]0, 1[$, the ε -Birkhoff Orthogonal complement of G is non-zero.

Proof. Let $y \in X \setminus G$. Since G is closed, $d(y, G) = r > 0$. Thus there exists $y_\varepsilon \in G$ such that

$$r \leq d(y, y_\varepsilon) \leq r / (1 - \varepsilon) \quad (\text{as } r = d(y, G))$$

$$\text{i.e. } r \leq d(y - y_\varepsilon, 0) \leq r / (1 - \varepsilon).$$

Put $x_\varepsilon = y - y_\varepsilon$, we have $x_\varepsilon \neq 0$ and for all $y_1 \in G$ and $\lambda \in K$, we obtain

$$\begin{aligned} d(x_\varepsilon + \lambda y_1, 0) &= d(y - y_\varepsilon + \lambda y_1, 0) \\ &= d(y, y_\varepsilon - \lambda y_1) \\ &\geq r \quad (\text{as } d(y, G) = r \text{ and } y_\varepsilon - \lambda y_1 \in G) \\ &\geq (1 - \varepsilon) d(x_\varepsilon, 0) \end{aligned}$$

i.e. $x_\varepsilon \perp y_1 (\varepsilon - B)$ and so $x_\varepsilon \in G^\perp (\varepsilon - B)$.

Using this lemma, we prove the following decomposition theorem in metric linear spaces (which for normed linear spaces was proved in [1])

Theorem 3.1 [5]. Let G be a closed linear subspace of a metric linear space (X, d) . Then for any $\varepsilon \in]0, 1[$, we have $X = G \oplus G^\perp (\varepsilon - B)$ **Proof.**

Suppose $G \neq X$ and $x \in X$. If $x \in G$, then $x = x + 0 \in G + G^\perp (\varepsilon - B)$.

If $x \notin G$, then there exists an element $y_\varepsilon \in G$ such that

$$0 < r = d(x, G) \leq d(x, y_\varepsilon) \leq r / (1 - \varepsilon).$$

Since $x_\varepsilon = x - y_\varepsilon \in G^\perp (\varepsilon - B)$ (by the above lemma), we have

$$x = y_\varepsilon + x_\varepsilon \in G + G^\perp (\varepsilon - B).$$

Since $\{0\} \subseteq G \cap G^\perp (\varepsilon - B) \subseteq \{0\}$, we get $X = G \oplus G^\perp (\varepsilon - B)$.

The following theorem shows that the continuity of ε -near best approximation is enough to guarantee the uniqueness of best

approximation in convex in convex metric linear spaces which are pseudo strictly came x.

Theorem 3.2 [5]. Let (X, d) be a convex metric linear space which is pseudo strictly convex and M a boundedly compact closed subset of X . Suppose that for each $\varepsilon > 0$, there exists a continuous ε -near best approximation $\phi: X \rightarrow M$ of X by M then M is a Chebyshev set.

Proof. Since a boundedly compact closed set in a metric space is proximal (see [7], p. 283), $P_M(x)$ is non-empty for each $x \in X$. Let $m \in P_M(x)$

We choose a point $x_0 \in X$ with $r = d(x_0, M) > 0$. Given a +ve integer $n \geq 1$, let $\phi_n: X \rightarrow M$ be continuous with

$$d(x, \phi_n(x)) \leq d(x, M) + 1/n \text{ for all } x \text{ in } X.$$

Then $\phi_n: B(x_0, r) \rightarrow M$ and

$$d(\phi_n(x), x_0) \geq r \text{ for all } x \text{ in the closed ball } B(x_0, r).$$

Let π be a mapping defined by

$$\pi(x) = x_0 + r(x - x_0)/d(x, x_0), \quad x \in X.$$

We claim that

$$\pi = \{x : d(x, x_0) \geq r\} \rightarrow \{x : d(x, x_0) = r\} \equiv \partial B(x_0, r)$$

is a radial retraction i.e.

- (i) $d(\pi(x), x_0) = r$
- (ii) for $x \in \partial B(x_0, r)$, $\pi(x) = x$.

Consider

$$\begin{aligned} d(\pi(x), x_0) &= d(x_0 + r(x - x_0)/d(x, x_0), x_0) \\ &= d(r(x - x_0)/d(x, x_0), 0), \end{aligned}$$

$$\begin{aligned}
&\geq r d(x-x_0, 0)/d(x, x_0), \text{ by the convexity of } (X, d) \\
&= rd(x, x_0)/d(x, x_0) \\
&= r.
\end{aligned}$$

Thus,

$$d(\pi(x), x_0) \leq r \quad (3.1)$$

$$\text{As } \pi(x) = x_0 + [r(x-x_0)]/d(x-x_0)$$

$$= rx/d(x, x_0) + [(1-r)/d(x-x_0)] x_0$$

i.e. $\pi(x) \in [x, x_0]$ and so

$$d(x, \pi(x)) + d(\pi(x), x_0) = d(x, x_0) \quad (3.2)$$

Now

$$\begin{aligned}
d(\pi(x), x) &= d(x_0 + [r(x-x_0)]/d(x, x_0), x) \\
&= d(r(x-x_0)/d(x, x_0), x-x_0) \\
&\leq [1-r/d(x, x_0)] d(0, x-x_0), \text{ by the convexity of } X \\
&= [1-r/d(x, x_0)] d(x, x_0) \\
&= d(x, x_0) - r.
\end{aligned}$$

Hence, $d(\pi(x), x) \geq r - d(x, x_0)$, So (3.2) implies

$$d(\pi(x), x_0) \geq d(x, x_0) + [r - d(x, x_0)] = r$$

$$\text{i.e. } d(\pi(x), x_0) \geq r \quad (3.3)$$

Combining (3.1) and 3.3), we get $d(\pi(x), x_0) = r$.

For $x \in \partial B(x_0, r)$ i.e. $d(x, x_0) = r$, we get

$$\begin{aligned}
\pi(x) &= x_0 + r(x-x_0)/d(x, x_0) \\
&= x
\end{aligned}$$

i.e. $\pi(x) = x$ for all $x \in \partial B(x_0, r)$.

Thus $\pi : \{x : d(x, x_0) \geq r\} \rightarrow \{x : d(x, x_0) = r\}$ is a radial retraction and $\pi_0 \phi_n : B(x_0, r) \rightarrow \partial B(x_0, r)$.

Now $\phi_n(x)$, for x in $B(x_0, r)$ satisfies.

$$\begin{aligned} d(\phi_n(x), x_0) &\leq d(x, M) + 1/n + d(x, x_0) \\ &\leq d(x, x_0) + d(x_0, M) + 1/n + d(x, x_0) \\ &= d(x_0, M) + 1/n + 2d(x, x_0) \\ &\leq 3r + 1. \end{aligned} \quad (3.4)$$

Hence $\phi_n(B(x_0, r)) \subseteq M \cap B(x_0, 3r + 1)$ and $\phi_n(B(x_0, r))$ is a bounded subset of M . So $\text{cl}(\phi_n(B(x_0, r)))$ is compact since M is given to be boundedly compact.

Let $P : X \rightarrow X$ be the reflection through x_0 .

$$\text{i.e. } P(y) = x_0 + (x_0 - y) \quad (3.5)$$

Then $\text{cl}(P_0 \pi_0 \phi_n(B(x_n(B(x_0, r)))) = P_0 \pi(\text{cl} \phi_n(B(x_0, r)))$ is compact subset of $\partial B[x_0, r]$ and $P_0 \pi_0 \phi_n$ is a continuous function from $B(x_0, r)$ into $\partial B(x_0, r)$.

Since in a convex metric linear space $B(x_0, r)$ is convex, by Rothe's theorem, a version of Schauder's theorem (see [74], p. 27) for each n , $P_0 \pi_0 \phi_n$ has a fixed point x_n in $B(x_0, r)$.

$$\begin{aligned} \text{Thus } x_n &= P_0 \pi_0 \phi_n(x_n) \\ &= P_0(\pi_0 \phi_n(x_n)) \\ &= 2x_0 - (\pi_0 \phi_n(x_n)) \quad (\text{using (3.5)}) \end{aligned}$$

and so $(\pi_0 \phi_n)(x_n) = 2x_0 - x_n$

We claim that $x_n, x_0, 2x_0 - x_n = \pi_0 \phi_n(x_n)$ and $\phi_n(x_n)$ are consecutive collinear points.

Since $2x_0 - x_n = \pi_0 \phi_n(x_n)$ implies $2x_0 - x_n - \pi_0 \phi_n(x_n) = 0$ i.e.

$$\alpha x_0 + \beta x_n + \gamma \pi_0 \phi_n(x_n) = 0 \text{ with } \alpha + \beta + \gamma = 0 \text{ i.e. } x_0 + \beta x_n + \gamma \cdot \pi_0 \phi_n(x_n) / (\beta + \gamma).$$

Also, by the definition of $\pi(x)$ we have

$$\begin{aligned} \pi(\phi_n(x_n)) &= x_0 + (r(\phi_n(x_n) - x_0)) / d(\phi_n(x_n), x_0) \\ &= r\phi_n(x_n) / d(\phi_n(x_n), x_0) + (1 - r / [d(\phi_n(x_n), x_0)])x_0 \\ &\Rightarrow 1 \cdot \pi_0 \phi_n(x_n) - r\phi_n(x_n) / d(\phi_n(x_n), x_0) - (1 - r / d(\phi_n(x_n), x_0))x_0 = 0 \\ &\Rightarrow \alpha \cdot \pi_0 \phi_n(x_n) + \beta \phi_n(x_n) + \gamma \cdot x_0 = 0 \end{aligned}$$

with $\alpha + \beta + \gamma = 1 - r / d(\phi_n(x_n), x_0) - 1 + r / d(\phi_n(x_n), x_0) = 0$

$$\Rightarrow \pi(\phi_n(x_n)) = (\beta \phi_n(x_n) + \gamma \cdot x_0) / (\beta + \gamma)$$

and so

$$\begin{aligned} d(\phi_n(x_n), x_n) &\geq d(\pi_0 \phi_n(x_n), x_n) \\ &= d(2x_0 - x_n, x_n) \\ &= d(x_n, x_0) + d(x_0, 2x_0 - x_n), \text{ as } x_n, x_0 \\ &\quad \text{and } 2x_0 - x_n \text{ are collinear} \\ &= d(x_n, x_0) + d(x_n, x_0) \\ &= 2d(x_n, x_0) \end{aligned}$$

Now we prove that $d(x_n, x_0) = r$

Since $\pi_0 \phi_n : B(x_0, r) \rightarrow \partial B(x_0, r)$ and $x_n \in B(x_0, r)$

implies $(\pi_0 \phi_n(x_n), x_0) = r$ i.e. $d(2x_0 - x_n, x_0) = r$, i.e. $d(x_n, x_0) = r$.

Hence $d(\phi_n(x_n), x_n) \geq 2r$.

In addition for each m in M ,

$$d(x_n, m) \geq d(x_n, \phi_n(x_n)) - 1/n \text{ (using (3.4))}$$

$$\geq 2r - 1/n \quad (3.6)$$

Again M is boundedly compact, the sequence $\{\phi_n(x_n)\}$ in $M \cap B(x_0, 3r+1)$ has a convergent subsequence with limit u in X . Then the sequence $\{P_o \pi_o \phi_n(x_n)\}$ has a convergent subsequence with limit $P_o \pi(u) = x_\infty \in \partial B(x_0, r)$.

Moreover, for each m in M ,

$$\begin{aligned} d((x_\infty - x_0) + (x_0 - m), 0) &= d((x_\infty - m), 0) \\ &= d(x_\infty, m) \\ &\geq 2r \text{ (using (3.6))} \end{aligned} \quad (3.7)$$

If m is in $P_M(x_0)$ then $d(x_0, m) = d(x_0, m) = r$.

Also $d(x_\infty, x_0) = r$ as $x_\infty \in \partial B(x_0, r)$. So

$$\begin{aligned} d((x_\infty - x_0) + (x_0 - m), 0) &= d(x_\infty - x_0, m - x_0) \\ &\leq d(x_\infty - x_0, 0) + d(m - x_0, 0) \\ &= r + r \\ &= 2r \end{aligned}$$

Implies

$$d((x_\infty - x_0) + d(x_0 - m), 0) \leq 2r \quad (3.8)$$

Combining (3.7) and (3.8) we have

$$\begin{aligned} d((x_\infty - x_0) + d(x_0 - m), 0) &= 2r \\ &= r + r \\ &= d((x_\infty - x_0), 0) + d((x_0 - m), 0) \end{aligned} \quad (3.9)$$

Since (X, d) is pseudo strictly Convex, (3.9) implies

$x_\infty - x_0 = t(x_0 - m)$ for some $t > 0$.

i.e. $m = [(1+t)x_0 - x_\infty]/t$ implying $P_M(x_0) = [(1+t)x_0 - x_\infty]/t$ for $t > 0$. Hence M is Chebyshev.

In strictly convex normed linear spaces this theorem was proved by Paul C. Kainen et al [2] and the above proof is an extension of the one given in [2].

Corollary 3.1 [5]. Let (X, d) be a convex metric linear space, M a boundedly compact subset of X and x an element of X with $r = d(x, M) > 0$. Suppose that for some ε , with $0 < \varepsilon < 2r$ there exists a continuous ε -near best approximation $\phi: B(x, r) \rightarrow M$ of $B(x, r)$ by M . Then there exists a point x_1 in $\partial B(x, r)$ such that $d(x_1, m) \geq 2r - \varepsilon$.

Proof. The proof of this is contained in the first part of the proof of Theorem 3.2 (upto equation (3.6)).

If M is an approximatively compact set in a metric space, then $P_M(x)$ is compact for each x in X . Indeed, any $\{m_n\}$ in $P_M(x)$ is a sequence in M with $d(x, m_n) = d(x, M)$ and by the definition of approximative compactness, has a convergent subsequence with limit in M and hence in $P_M(x)$. Using this we have:

Theorem 3.3 [5]. Let M be an approximatively compact set in a metric linear space (X, d) and x an element of X . Suppose that for each $\varepsilon > 0$, there is a continuous ε -near best approximation $\phi_\varepsilon: (x) \cup P_M(x) \rightarrow M$. Then $P_M(x)$ is connected.

For normed linear spaces the proof of Theorem 3.3 is given in [2] and that proof can easily be extended to metric linear spaces.

Corollary 3.2 [5]. Let (X, d) be a metric linear space and M an approximately (i.e., $P_M(x)$ is non-empty and countable for each x in X). Suppose that for each $\varepsilon > 0$ there exists a continuous ε -near best approximation $\phi: X \rightarrow M$ of X by M . Then M is a Chebyshev set.

Proof. By Theorem 3.3 for each x , $P_M(x)$ is connected and since the only countable connected set is a singleton, M is Chebyshev.

Corollary 3.3 [5]. Let (X, d) be a metric linear space, M a closed, boundary compact subset of X , and x an element of X with $r = d(x, M) > 0$. If for each $\varepsilon > 0$, there exists a continuous ε nearest approximation $\phi: B(x, r) \rightarrow M$ of $B(x, r)$ by M then $P_M(x)$ is connected.

Proof Since a closed, boundedly compact subset is approximately compact ([6], p. 383), proof follows from Theorem 3.3.

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***Dr. Meenu Sharma**
Principal
A.S. College for Women, Khanna

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Dr. Meenu Sharma